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Subject: Guiding policies and best practices for engagement with in-country implementing partners
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[Policies and practices for engagement with IPs \(2.21.2017\).pdf](#)

Hi everyone. Please find attached the [Policies and Practices for Engagement with Implementing Partners](#) guidance document that was recently shared with the Executive Board. Please review this document and forward it to any relevant individuals within your organization (e.g. country leads, regional leads, country coordinators, administrative and financial staff (as appropriate), etc.) who are involved with or responsible for engaging with in-country implementing partners and collaborators. Please also note this will be a living document that is updated periodically as new information is made available and/or new guidance is received. All updated versions will be dated and revisions from the previous version highlighted for your reference. An update including the additional information below is already in the works and will be shared as soon as possible. Please let me know if you have any questions. Thanks!!

Appendix A of the attached document is an internal "mini-audit" form that UC Davis uses to determine an organization's fiscal responsibility and evaluate risk for its subrecipients. Going forward, this form needs to be completed for any new subawards issued under your PREDICT subawards. I would also recommend that this form be completed for each of your subrecipients on an annual basis as part of your organization's standard subrecipient monitoring procedures. Please see 2 CFR 200.331 for additional information regarding requirements for pass-through entities.

Appendix B of the attached document is a "Recipient Control Environment Assessment Checklist" developed by USAID to determine the level of monitoring necessary for foreign governmental entities and parastatals in their use of U.S. foreign development aid. This checklist is specifically referenced in the required Determinations & Findings document for new subcontract approvals and should be used for any foreign governmental entities/parastatals you wish to procure services from.

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